

May 24, 2010

Respected Clients

SEBI circular MIRSD/SE/Cir-19/2009 dated Dec 3, 2009

Based on SEBI circular MIRSD/SE/Cir-19/2009 dated December 3, 2009, kindly note as below:

Exchanges/ segment registration document

Kindly note that you are registered for all the segments (Cash/ Capital Market, Derivatives/ Futures and Options, Securities Lending Borrowing, Currency Segment, Mutual Funds segment for Bombay Stock Exchange, National Stock Exchange and United Stock Exchange

Financial details

As per the requirements, it is necessary for the members to collect financial details of the clients who deal in derivatives. In case you have dealt in derivatives segment and/or intend to deal in derivatives segment, kindly provide us annually with a copy of their Income Tax Returns and CA certified networth certificate. The following documents may also be provided:

1. Other Income Proof (Form 16 in case of salary income, Salary Slip)
2. Asset Proof (Copy of Annual Accounts, bank account statement for last 6 months, copy of demat account Holding statement, any other relevant documents substantiating ownership of assets.

Document dealing with policies and procedures

A document dealing with client policies and procedures is enclosed herewith. The same is also placed on our website www.rbksbl.com

Renewal of Running Account Authorisation - Deadline 30th June 2010

As per the requirements of SEBI, the running account authorisation already provided by you to us needs to be renewed annually

Monthly/ Quarterly settlement option

As per the requirements of SEBI, the accounts between you and us have to be settled once in a month/quarter. We have placed your account for quarterly settlement (by default). In case you choose for monthly settlement, kindly do write to us

Updation of your email ID - Deadline 30th June 2010

As part of the "Corporate Social Responsibility" policy as adopted by RBKSBL, we are actively implementing policies in our office to save the environment. During our internal reviews, we have realised that a lot of paper is wasted in sending periodic statements and physical contracts to yourselves over and above the SMS and emails already sent to you

Based on our discussions with the clients, it has been decided that henceforth, all statements shall be sent in the soft copy format only to the registered email Id of the clients. Physical statements shall be sent only on an annual basis, or at the specific request of the client. An extra charge of Rs. 100/- per statement/ contract will be levied in case of non provision of email ID and/or bouncing of the emails from the registered email IDs. This charge shall be levied on clients who have not provided us the email ID by the 30th of June 2010. Help us save the environment and protect our future

Thank you

For RBK SHARE BROKING LIMITED

(Authorised Signatory)

Confirmation letter

To,

RBK SHARE BROKING LIMITED

1-A, Alli Chambers, 1st Floor, Meadows Street,

Fort, Mumbai 400 001

Telephone no: 30282648/53/54 ,Fax: 022- 22656897 & Email: rbk@rbksbl.com

Re: SEBI circular MIRSD/SE/Cir-19/2009 dated Dec 3, 2009

With reference to your circular dated May 24, 2010. We confirm:

1. Receipt of copy of all the documents executed by us with you
2. Receipt of “Document dealing with policies and procedures”
3. Renewal of our running account authorisation with yourself for a period of one year
4. Noting of your website www.rbksbl.com for all communications that you as stock broker give to your clients including policies as updated from time to time
5. Receipt of our login ID and password of your website www.rbksbl.com giving us our accounts position, trade position, daily margin details, etc.
6. Receipt of literature for PMLA requirements
7. I/We confirm that
 - a. I/ we are opting for quarterly option for settlement of account
 - b. I/we are opting for Opting for receipt of digitally signed communication from yourselves
 - c. I/we are Opting for receipt of trade confirmations on SMS from yourselves
 - d. I/we are registered on all the segments of BSE / NSE

Latest Email Id(s)	
Latest Mobile numbers(s)	

Name: _____

Place: _____

Signature: _____

Date: _____

Address: _____
